



Ohio Administrative Code

Rule 4901:1-19-06 Filing requirements for alternative rate plan applications filed pursuant to section 4929.05 of the Revised Code.

Effective: June 15, 2023

(A) Notice of intent.

The applicant shall notify the commission staff by letter addressed to the directors of the rates and analysis department and the service monitoring and enforcement department of its intent to file an application at least thirty calendar days prior to the expected date of filing.

(B) Form of an application.

(1) An alternative rate plan application must be supported by direct testimony.

(2) All direct testimony and exhibits supporting the application shall be filed with the application, unless the application is being filed in conjunction with an application for an increase in rates under section 4909.18 of the Revised Code, in which case the direct testimony and exhibits may be filed within fourteen days of the filing of the application.

(3) The applicant shall provide a copy of its application and supporting testimony to the office of the consumers' counsel and each party of record in its previous alternative rate plan or rate case proceeding. Such copies may be provided either in hard copy or by electronic service.

(4) The applicant shall provide or cause to be provided a copy of the application to any person upon request. Such copies may be provided either in hard copy or by electronic service, if electronic service is feasible and the requestor consents to electronic service.

(5) Alternative rate plan applications are designated by the commission's docketing division using the acronym ALT.

(C) Exhibits to an alternative rate plan application.



(1) For alternative rate plan applications that are for an increase in rates, applicants shall submit the exhibits described in divisions (A) to (D) of section 4909.18 of the Revised Code and the schedules and other information described in the standard filing requirements pursuant to rule 4901-7-01 of the Administrative Code unless otherwise waived by paragraph (D) of rule 4901:1-19-02 of the Administrative Code. Except as otherwise provided in rule 4901:1-19-13 of the Administrative Code or as otherwise determined by the commission, an alternative rate plan application that does not use the same billing determinants and revenue requirement authorized by the commission in the applicant's most recent rate case proceeding is considered an application for an increase in rates.

(a) The applicant may use up to nine months of forecasted data for its unadjusted test year operating income statement. The forecasted data shall use the corporate budget which has been approved by the highest level of officers of the applicant and is utilized to manage and operate the applicant on a day-to-day basis. Adjustments the applicant believes are necessary to make the corporate budget more appropriate for ratemaking purposes are to be presented on schedule C-3. Failure to use the corporate budget as the basis of the forecasted portion of the test year may result in the commission finding that the application is deficient.

(b) The applicant may request, no later than the filing of the application, to file a two-month update to provide actual financial data and significant changes in budgeted data (to be fully documented).

(2) For any alternative rate plan application, regardless of whether the plan is for an increase in rates, the applicant will have the burden of proof to document, justify, and support its plan and shall provide the following information. This additional information is considered to be part of the standard filing requirements for a natural gas company filing an alternative rate plan that is for an increase in rates.

(a) The applicant shall provide a detailed alternative rate plan, which states the facts and grounds upon which the application is based, and which sets forth the plan's elements, transition plans, and other matters as required by these rules. This exhibit shall also state and support the rationale for the initial proposed tariff changes for all impacted natural gas services.

(b) If the applicant has been authorized to exempt any services, the applicant shall provide a listing of the services which have been exempted, the case number authorizing such exemption, a copy of



the approved separation plan(s), and a copy of the approved code(s) of conduct.

(c) The applicant shall provide a detailed discussion of how potential issues concerning cross-subsidization of services have been addressed in the plan.

(d) The applicant shall provide a detailed discussion of how the applicant meets the conditions of division (A) of section 4929.05 of the Revised Code.

(e) The applicant shall submit a list of witnesses sponsoring each of the exhibits in its application.