



Ohio Administrative Code Rule 3772-1-01 Definitions.

Effective: August 2, 2021

(A) Except as provided in paragraph (B) of this rule, the words and terms defined in section 3772.01 of the Revised Code are used in the rules adopted thereunder as defined in that section.

(B) The following words and terms, when used in agency 3772 of the Administrative Code with respect to casino gaming, have the following meanings, unless the context clearly indicates otherwise:

(1) "Application" means the total written materials, including the instructions, forms, and other documents issued by the commission, comprising the applicants request for a license.

(2) "Casino operator" means "casino operator" and "management company" as those terms are defined in section 3772.01 of the Revised Code.

(3) "Chairperson" means the chairperson of the commission.

(4) "Conduct" means to back, promote, organize, manage, carry on, sponsor, or prepare for the operation of casino gaming at a casino facility.

(5) "Control" means the power and ability to exercise authority over, or to direct the management and policies of, another person.

(6) "Indirect interest" means any interest in any other person that is deemed to be held by the holder, not through the holders actual holdings in the person, but through the holders holdings in any other person.

(7) "Individual" means any natural person.

(8) "Internal control system" means the administrative and accounting controls designed and utilized



by a casino operator for the purpose of exercising control over the casino.

(9) "License" means the complete and approved written document issued by the commission that indicates that an applicant has been chosen for licensure and has met all of the requirements set forth in Chapter 3772. of the Revised Code and the rules adopted thereunder.

(10) "Licensee" means any person who is licensed under the provisions of Chapter 3772. of the Revised Code.

(11) "Participate in conducting" means:

(a) To have a part in or a share of the backing, promoting, offering, organizing, managing, carrying on, sponsoring, or preparing the operation of casino gaming, including leasing an interest in a casino facility to a casino operator; or

(b) To manufacture, distribute, sell, lease, or otherwise supply or provide casino gaming equipment, goods, or services.

(12) "Provisional license" means a written document issued by the commission that indicates that an applicant for a key employee or a casino gaming employee license has been chosen for temporary licensure in accordance with the requirements and conditions set forth in Chapter 3772. of the Revised Code and the rules adopted thereunder.

(13) "Provisional license period" means any of the following, whichever is the shortest length of time:

(a) The period of time between the issuance of a provisional license and the issuance of a license or the issuance of a notice of intent to deny a license;

(b) Three months from the date of issuance if the commission does not renew the provisional license;
or

(c) Six months from the date of issuance if the commission, at its discretion, renews the provisional



license.

(14) "Publicly traded company" means a corporation or other legal entity, except a natural person, that:

(a) Has one or more classes of security registered pursuant to section 12 of the Securities Exchange Act of 1934, (15 U.S.C. 781), or has executed a registration rights statement for registration at a future date; or

(b) Is required to file reports under section 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78o(d)); or

(c) Has one or more classes of securities traded in any open market in any foreign jurisdiction or regulated pursuant to a statute of any foreign jurisdiction that the commission determines to be substantially similar to either or both of the aforementioned federal statutes.

(15) "Wager" means a sum of money or thing of value risked in a casino game at a casino facility.